JC08 Rec'd PCT/PTO 80 9 APR 2001

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE REQUEST FOR FILING NATIONAL PHASE OF PCT APPLICATION UNDER 35 U.S.C. 371 AND 37 CFR 1.494 OR 1.495

To:

Hon. Commissioner of Patents

Washington, D.C. 20231



	SMITTAL LETTER TO THE UNITED		Atty Dkt:	P 277995		US/LT/her		
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From:	Pillsbury Winthrop LLP, IP Group:		Date: A	pril 9, 2001				
	This is a REQUEST for FILING a P	CT/USA National	Phase Applica	ation based on	:			
1.	International Application	2. Internation	nal Filing Date	3. E	arliest Priority Da	te Claimed		
	PCT/FI00/000675	9 Auç	gust 200 I <u>ONTH</u> Ye	01	0 August	1999		
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4.	Measured from the earliest priority filed within:	date in item 3, this	PCT/USA Na					
	(a) 🛛 20 months from above item 3	3 date (b) 🗌 3	30 months fror	n above item 3	3 date,			
	(c) Therefore, the due date (unexte	ndable) is April	10, 2001		A. C.			
5.	Title of Invention METHOD FOR SI	ELECTING MODU	LATION DET	ECTOR IN RE	CEIVER, AND RI	ECEIVER		
6.	Inventor(s) <u>HUTTUNEN, Mikko</u>							
Applica	nt herewith submits the following un	der 35 U.S.C. 371	to effect filing	:				
7.	□ Please immediately start national	al examination pro	cedures (35 U	J.S.C. 371 (f)).				
8.	A copy of the International Ap English but, if in foreign language, to							
	a. Request;							
	b. Abstract;c. pgs. Spec. and Claims;							
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9.	⊠ A copy of the International Ap	plication has bee	en transmitte	d by the inter	national Bureau.			
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	c. is not herewith, but will be	oe filed when requi	ired by the for	thcoming PTO	Missing Requirer	ments		
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	11.	⊠ F	Please see the attached	Preliminary Amend	ment	JC08 Rec'd PC1	7PTO	0 9 APR 2001
	12.	3		<u>18th month</u> from f	irst prio	ation under PCT Article		
	13.	⊠ F	PCT Article 19 claim am	endments (if any) h	ave bee	n transmitted by the Inte	rnationa	al Bureau
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	15.	a. □ b. ⊠	iration of the inventor is submitted herewith is not herewith, but will per Rule 494(c) if box 4	☐ Original be filed when requir] ed by the	☐ Facsimile/Copy e forthcoming PTO Missi ox 4(b) is X'd.	ng Requ	uirements Notice
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JC08 Rec'd PCT/PTO 0 9 APR 2001 24. Attached: Per Item 17.c2, cancel original pages #_____, claims # , Drawing Sheets # 25 26. Calculation of the U.S. National Fee (35 U.S.C. 371 (c)(1)) and other fees is as follows: Based on amended claim(s) per above item(s) 12, 14, 17, 25 (hilite) **Total Effective Claims** minus 20 =x \$18/\$9 \$0 966/967 Independent Claims minus 3 = x \$80/\$40 \$0 964/965 968/969 If any proper (ignore improper) Multiple Dependent claim is present, add\$270/\$135 BASIC NATIONAL FEE (37 CFR 1.492(a)(1)-(4)): → → BASIC FEE REQUIRED, NOW → → → → If country code letters in item 1 are not "US", "BR", "BR", "TT", "MX", "IL" "NZ", "IN" or "ZA" Α. See item 16 re: Search Report was not prepared by EPO or JPO - - - - - add\$1000/\$500 960/961 1. Search Report was prepared by EPO or JPO - - - - - - - add\$860/\$430 970/971 +1000 SKIP B, C, D AND E UNLESS country code letters in item 1 are "US", "BR", "BB", "TT", "MX", "IL" "IN" or "ZA' If <u>USPTO</u> did not issue both International Search Report B. (X) (ISR) and (if box 4(b) above is X'd) the International add\$970/\$485 +0 960/961 Examination Report (IPER), ------(only) (<u>one</u>) -> C. If USPTO issued ISR but not IPER (or box 4(a) above is 958/959 add\$710/\$355 +0 -(these) 4) 🗲 D. If USPTO issued IPER but IPER Sec. V boxes not all 3 ļ (boxes) 956/957 add\$690/\$345 +0 If international preliminary examination fee was paid to USPTO and Rules 492(a)(4) and 496(b) satisfied (IPER 962/963 Sec. V all 3 boxes YES for all claims), ----add \$100/\$50 +0 27. \$1000 SUBTOTAL = 28. If Assignment box 19 above is X'd, add Assignment Recording fee of ----\$40 +0 (581)29. Attached is a check to cover the ------**TOTAL FEES** \$1000 Our Deposit Account No. 03-3975 Our Order No. 277995 60258 C# M# CHARGE STATEMENT: The Commissioner is hereby authorized to charge any fee specifically authorized hereafter, or any missing or insufficient fee(s) filed, or asserted to be filed, or which should have been filed herewith or concerning any paper filed hereafter, and which may be required under Rules 16-18 and 492 (missing or insufficient fee only) now or hereafter relative to this application and the resulting Official document under Rule 20, or credit any overpayment, to our Account/Order Nos, shown above for which purpose a duplicate copy of this sheet is attached. This CHARGE STATEMENT does not authorize charge of the issue fee untillunless an issue fee transmittal form is filed **Pillsbury Winthrop LLP** Intellectual Property Group By Atty: Christing H. McCarthy Reg. No. 41844 Sia: Fax: (202) 822-0944 Atty/Sec: CHM/mhn Tel: (202) 861-3075

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RE: USA National Phase Filing of PCT/FI00/00675

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re PATENT APPLICATION OF

Inventor(s): HUTTUNEN, Mikko

Filed: Herewith

Title: METHOD FOR SETECTING MODULATION DETECTOR IN RECEIVER, AND

RECEIVER

April 9, 2001

PRELIMINARY AMENDMENT

Respectfully submitted,

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METHOD FOR SELECTING MODULATION DETECTOR IN RECEIVER, AND RECEIVER

BACKGROUND OF THE INVENTION

The invention relates to a method for selecting a modulation detector in a receiver.

When information is transferred over a radio channel, a signal to be transmitted must be modulated. The purpose of modulation is to render the signal such that it can be transmitted at a radio frequency. One requirement of a good modulation method is, for example, that it enables the largest possible amount of information to be transferred on the narrowest possible frequency band. Depending on the use, other features can also be stressed. In addition, modulation must be such that it causes as little interference to a neighbouring channel as possible.

One modulation method is $\pi/4$ -DQPSK ($\pi/4$ -shifted, Differential Quaternary Phase Shift Keying) modulation. This modulation method comprises eight phase states but only four phase shifts. The allowed phase shifts (symbols) are $\pm \pi/4$ and $\pm 3\pi/4$. Each phase shift corresponds to two bits to be transmitted. In other words, a digital signal modulates a carrier in two-bit sequences in such a manner that a given phase shift corresponds to each two-bit combination during each symbol sequence. A symbol sequence refers here to a signal sequence which is used for transmitting two bits. The phase shifts which correspond to bit combinations 00, 01, 10 and 11 are $\pi/4$, $3\pi/4$, $-\pi/4$ and $-3\pi/4$. For example, the symbol frequency employed by the Terrestrial Trunked Radio (TETRA) is 18 kHz, whereby the bit frequency is 36 kHz.

When a signal is received, it has to be demodulated, i.e. the bits that are modulated to the signal have to be detected by a detector in order to find out the information included therein. A receiver may comprise a plurality of detectors which are optimized for various channel conditions. In some conditions, a channel equalizer may also be needed. Selection of a detector to be used is generally implemented such that the detectors operate simultaneously and each produces a commensurable error-metric value, on the basis of which the detector that is best suited for the conditions can be selected.

The above-described arrangement has a drawback that as the detectors operate simultaneously, a considerable amount of computational power is required for calculating the detector algorithms. In particular, a chan-

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nel equalizer that is possibly included in the detector requires heavy computational power.

BRIEF DESCRIPTION OF THE INVENTION

The object of the invention is thus to provide a method and equipment implementing the method such that the above drawbacks can be solved. This is achieved with a method and a receiver which are characterized by what is disclosed in the independent claims 1 and 6. The preferred embodiments of the invention are disclosed in the dependent claims.

The invention is based on the idea that a cross-correlation result obtained from symbol synchronization is utilized when assessing a suitable detector type required by the conditions on a radio channel.

The method and arrangement of the invention have an advantage that the number of calculations required for the detection can be minimized in the receiver, since simultaneous operation of a plurality of detectors is not needed. In particular, this is advantageous in terminal equipments having a limited computational capacity. By means of the invention, it is also possible to select the optimal detector type to suit the conditions on the radio channel.

BRIEF DESCRIPTION OF THE DRAWINGS

In the following, the invention will be described in greater detail in connection with preferred embodiments, with reference to the attached drawings, wherein

Figure 1 is a block diagram of a receiver structure according to the invention; and

Figure 2 is a simplified schematic view of a frame structure in the 25 TETRA system.

DETAILED DESCRIPTION OF THE INVENTION

In the following, the invention is described in connection with the TETRA system with no intention to restrict the invention to any particular system or modulation method.

In the TETRA system, information bits received from a medium access layer (MAC) of a transmission path are encoded by block coding and convolution coding so as to detect errors arising in the signal on a radio path and possibly correct them at reception. The encoded bits are interleaved such that successive bits are far apart from one another. This facilitates error cor-

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rection if the signal to be transmitted is exposed to instantaneous interference on the radio path. The interleaved bits are mixed by using a given colour code, by means of which the transmissions of different base stations can be identified. In multiplexing, bits of different logical channels are combined. Thereafter, a burst is formed from the multiplexed bits. A burst is a structure which is transmitted in one time division multiple access (TDMA) time slot or sub-time slot. The burst is composed of data bit fields 20 and 22 and of a training sequence 21 between them in the middle of the burst, as illustrated in Figure 2. The training sequence 21 is a predetermined bit sequence that is stored in the memory of a receiver such that a training sequence of the received signal can be compared with the stored training sequence. The training sequence 21 can be used for synchronizing the reception and for identifying the received signal, for example. Differential coding generates modulating symbols from pairs of bits in a burst. A carrier which is modulated by control of symbols is amplified in a transmitter and transmitted onto a radio path.

The modulation is the above-described $\pi/4$ -DQPSK ($\pi/4$ -shifted, Differential Quaternary Phase Shift Keying) modulation. This modulation method comprises eight phase states but only four phase shifts. The allowed phase shifts (symbols) are $\pm \pi/4$ and $\pm 3\pi/4$. In practice, the $\pi/4$ -DQPSK constellation thus varies at symbol intervals between two 4-point constellations.

Figure 1 shows a block diagram of a receiver structure of the invention for the TETRA system, for instance. Only the parts of the receiver that are relevant to the understanding of the invention are shown. In reception, a signal is received from an antenna (not shown) and the signal is first processed by radio-frequency parts (not shown). Thereafter, A/D converters (not shown) take samples from the intermediate frequency signal. The samples are supplied to a synchronizing block 100, as illustrated by signal IN in Figure 1. The synchronizing block 100 searches the obtained samples for a training sequence 21 belonging to the frame structure. Thereby the synchronizing block is able to accurately determine an ideal sampling moment, i.e. positions of all symbols in a sample stream. This is also known as symbol synchronization. It is carried out by calculating a complex cross-correlation between the training sequence 21 of the received signal burst and the stored training sequence at different sampling moments. Generally, cross-correlation refers to an integral of the product of two signals, which indicates how well the signals correspond. Thus, the sampling moment of the received signal producing the maximum

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cross-correlation value is the ideal sampling moment and synchronization is carried out accordingly in a known manner. In the described example in connection with the TETRA system, the cross-correlation to be calculated is complex, since the signal IN is a complex signal. The synchronizing block 100 also controls the radio-frequency parts of the receiver in order that the signal arriving in the A/D converter would stay at an optimal level.

According to the basic idea of the invention, correlation data obtained from synchronization 100 is utilized in assessing a detector type 103A or 103B required by the radio channel conditions. Thus, the maximum of the cross-correlation result obtained from synchronization 100 corresponds to the ideal synchronization point, as described above. In an ideal case, when there is no interference on the radio path, the cross-correlation zero points are located before and after the ideal synchronization point, at a distance of a symbol sequence. In other words, when a sampling point is shifted for one symbol sequence forwards or backwards from the ideal sampling point and a crosscorrelation between the obtained training sequence and the stored training sequence is calculated, the result is zero in the ideal case. However, if multipath propagation appears in the radio channel, values deviating from zero, i.e. power, starts appearing at these cross-correlation zero points. In this specification, the term cross-correlation zero point refers to the above-described crosscorrelation determined at the distance of one symbol sequence from the maximum of the cross-correlation, which, in the ideal case, when there is no interference on the radio path, gives a result of zero, but which is not necessarily zero if interference occurs.

It is typical of the radio path that the transmitted signal arrives in the receiver over several propagation paths, each of which having a specific time delay, and in addition, the channel properties change as a function of time. For instance, beams reflected and delayed on the radio path generate intersymbol interference (ISI). The frequency response or the impulse response of the channel can be estimated by a discrete-time filter, i.e. a channel estimator, whose tap coefficients model the radio channel. The aim is to describe the state of the radio channel by a channel estimate. In the present specification, the channel estimator generally refers to a mechanism that estimates and maintains a description of the complex impulse response of the radio channel. A method by which the channel estimate is updated is substantially associated with this mechanism. In the TETRA system, a least mean square (LMS) algo-

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rithm, for instance, can be used for updating the channel estimates. In order to ensure that the LMS algorithm is converged before the start of the actual information bits, the detector 103A or 103B must obtain the best possible initial estimate of the state of the channel. This estimate is obtained from the synchronization 100 which calculates a complex cross-correlation between the training sequence 21 of the received signal and the stored version of the training sequence when searching an optimal sampling moment. The crosscorrelation result provides an initial value for the channel estimate, the initial value indicating an average state of the channel during the training sequence. Channel correction and symbol detection will not begin until the training sequence is received. This ensures that the symbol synchronization is able to adjust the timing of symbols as accurately as possible and to generate the initial estimate of the channel. The channel correction both in the forward direction and in the backward direction preferably proceeds such that, after initializing the estimates, the detector 103A or 103B is trained over the training sequence 21 towards the end of the burst or towards the beginning of the burst, respectively. Consequently, if multipath propagation occurs considerably, it is more preferable to use a detector provided with a channel equalizer, and on the other hand, if multipath propagation does not occur, a conventional differential detector, for instance, can be used as the detector.

The synchronizing block 100 supplies the received signal frame to a selector unit 102 which selects the detector 103A or 103B to be used on the basis of the correlation data and forwards the frame to the selected detector block 103A or 103B via an output A or B. The detector 103A or 103B detects the information bits and the optional channel equalizer associated therewith corrects non-idealities caused by the radio channel in a known manner, as described above. Finally, in framing 104, the frame is formed into a logical channel that is forwarded for further processing OUT.

According to a preferred embodiment of the invention, in synchronization 100, the complex cross-correlation between the training sequence 21 of the received signal and the stored training sequence at one zero point or both of the zero points of the cross-correlation is determined as defined above. If the cross-correlation is calculated at either zero point, it is possible to calculate an average of the two obtained values or they can be summed, whereby one cross-correlation value is obtained. Alternatively, it is also possible to use two, separate cross-correlation values in further processing. By means of the abso-

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lute value(s) of the obtained cross-correlation, the detector 103A or 103B to be used for symbol detection is selected with the selector 102. One of the equalizers, e.g. 103A, preferably comprises a channel equalizer, and consequently, if the absolute value of the determined complex cross-correlation exceeds a given preset limit value, the detector 103A provided with the channel equalizer is used, and if the absolute value of the determined complex cross-correlation is below a given preset limit value, the other detector 103B, which is e.g. a differential detector, is used. There may be more than two detector types and they may differ from the above-described detectors without that it has any relevance to the basic idea of the invention. Preferably, only the detector 103A or 103B that is used for detection is in operation, which minimizes the computational power required for detection.

Further, according to the preferred embodiment of the invention, the selection of the detector 103A or 103B is carried out by averaging the cross-correlation values of a plurality of received time slots. This can be done by means of an averager 101 which receives the correlation data from the synchronization 100, as illustrated in Figure 1. The calculated average data, on the basis of which the selection of detector is performed, is thus applied to the selector 102. The average is calculated, for instance, after each received burst for a given number of preceding bursts. The selection 102 of the detector is performed e.g. by comparing the average with a predetermined limit value as is described in the above.

For ease of understanding the invention, one example of the general structure of the receiver is described in the above. However, the structure of the receiver may vary without deviating from the present invention. It is obvious to the person skilled in the art that as technology progresses the basic idea of the invention can be implemented in a variety of ways. Thus, the invention and its embodiments are not restricted to the above-described examples, but they may vary within the scope of the claims.

CLAIMS

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1. A method for selecting a modulation detector in a receiver which comprises at least a first and a second detector, the method comprising the steps of

determining at least one cross-correlation value between a stored training sequence and at least one training sequence of a received signal, characterized by

selecting a detector used for detecting a signal to be received on the basis of the determined at least one cross-correlation value.

2. A method as claimed in claim 1, **characterized** in that the step of determining at least one cross-correlation value comprises the steps of searching an ideal synchronization point of the received signal, at which point the cross-correlation between the training sequence of the received signal and the stored training sequence has the maximum value, and

calculating the cross-correlation value between the stored training sequence and the training sequence of the received signal, which is obtained by shifting the synchronization point of the received signal for one symbol sequence forwards from the ideal synchronization point, and/or

- calculating the cross-correlation value between the stored training sequence and the training sequence of the received signal, which is obtained by shifting the synchronization point of the received signal for one symbol sequence backwards from the ideal synchronization point.

- 3. A method as claimed in claim 1 or 2, **characterized** in that the received signal is a complex signal, whereby at least one cross-correlation value to be determined is a complex cross-correlation value.
- 4. A method as claimed in claim 3, **characterized** by performing the step of determining at least one cross-correlation value for a given number of training sequences of the received signal,

calculating an absolute value of the average of the determined cross-correlation values, and

selecting the first detector for the detection of the signal to be received if the absolute value of the average of the cross-correlation values exceeds a preset limit value, and the second detector if the absolute value of the average of the cross-correlation values is below a preset limit value.

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- 5. A method as claimed in claim 3 or 4, **characterized** in that the first detector includes a channel equalizer.
 - 6. A receiver which comprises

a first (103A) and a second (103B) modulation detector,

means (100) for determining at least one cross-correlation value between at least one training sequence (21) of a received signal (IN) and a stored training sequence, **characterized** in that the receiver further comprises

means (102) for selecting the detector (103A, 103B) used for the detection of the signal to be received in response to the determined at least one cross-correlation value.

7. A receiver as claimed in claim 6, **characterized** in that the means (100) for determining at least one cross-correlation value are arranged

to search an ideal synchronization point of the received signal (IN), at which point the cross-correlation between the training sequence (21) of the received signal and the stored training sequence has the maximum value, and

to calculate the cross-correlation value between the stored training sequence and the training sequence of the received signal, which is obtained by shifting the synchronization point of the received signal for one symbol sequence forwards from the ideal synchronization point, and/or

to calculate the cross-correlation value between the stored training sequence and the training sequence of the received signal, which is obtained by shifting the synchronization point of the received signal for one symbol sequence backwards from the ideal synchronization point.

- 8. A receiver as claimed in claim 6 or 7, **characterized** in that the received signal (IN) is a complex signal, whereby at least one cross-correlation value to be determined is a complex cross-correlation value.
- 9 A receiver as claimed in claim 8, **characterized** by further comprising means (101) that are arranged

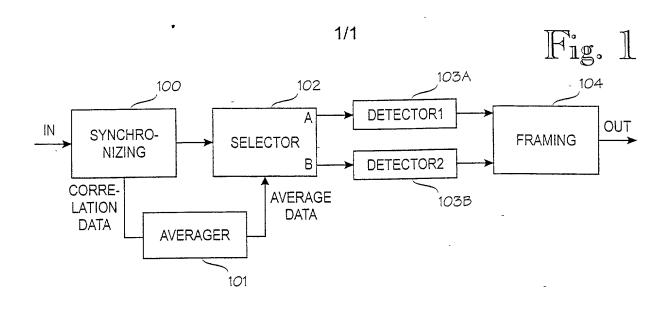
to collect a predetermined number of cross-correlation values determined from the training sequences of the received signal and

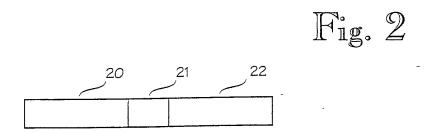
to calculate an absolute value of the average of the determined cross-correlation values, whereby the means (102) for selecting the detector are arranged

to select the first detector (103A) for the detection of the signal to be received if the absolute value of the average of the cross-correlation values

exceeds a preset limit value, and the second detector (103B) if the absolute value of the average of the cross-correlation values is below a preset limit value.

10. A receiver as claimed in claim 8 or 9, **characterized** in that the first detector (103A) includes a channel equalizer.





FOR UTILITY/DESIGN CIP/PCT NATIONAL/PLANT ORIGINAL/SUBSTITUTE/SUPPLEMENTAL DECLARATIONS

RULE 63 (37 C.F.R. 1.63) DECLARATION AND POWER OF ATTORNEY FOR PATENT APPLICATION

PM & S FORM

DECLARATIONS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

As a below named inventor, I hereby declare that my residence, post office address and citizenship are as stated below next to my name, and I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed

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I hereby state that I have reviewed and above. I acknowledge the duty to disclorer foreign priority benefits under 35 U S C which designated at least one other cou PCT International Application, filed by mon which priority is claimed, or (2) if no programments.	understand the contents of the abo se all information known to me to 119(a)-(d) or 365(b) of any foreign intry than the United States, listed ne or my assignee disclosing the s	be material to patentability as d n application(s) for patent or inv below and have also identified ubject matter claimed in this ap	efined in 37 C F R 1 5 entor's certificate, or 36 below any foreign appli	6 Except as noted below, I he 5(a) of any PCT International A cation for patent or inventor's o	reby claım Application ertificate, or
DRIOR EOREIGN ARRIVATION	(C)	Date first L	aid- Date I	Patented	
PRIOR FOREIGN APPLICATION Number Country 19991696 Finland	<u>Day/MONTH/Year</u> 10 August 1999			Granted Priority NO	T Claimed
If more prior foreign applications, X become as noted below, I hereby claim of PCT international applications listed about application is in addition to that disclose defined in 37 C F R 1 56 which became PRIOR U.S. PROVISIONAL, NON Application No. (series code/ser	domestic priority benefit under 35 to ove or below and, if this is a contine and in such prior applications, I ackn e available between the filing date APROVISIONAL AND/OR PC	J S C 119(e) or 120 and/or 365 uation-in-part (CIP) application iowledge the duty to disclose all of each such prior application a CT APPLICATION(S)	, insofar as the subject information known to i	matter disclosed and claimed in ne to be material to patentabiliti international filing date of this a Priority NO	n this ly as application
And I hereby appoint Pillsbury Winthrop telephone number (202) 861-3000 (to w	whom all communications are to be	directed), and the below-name	d persons (of the same	address) individually and colle	ru, ctivaly my
attorneys to prosecute this application a authorize them to delete names/number person/assignee/attorney/firm/ organiza be represented unless/until I instruct the Paul N. Kokulis 16773 Raymond F. Lippitt 17519 G. Lloyd Knight 17698 Kevin E. Joyce 20508 George M. Sirilla 18221 Donald J. Bird 25323 Peter W. Gowdey 25872 Dale S. Lazar 28872	rs below of persons no longer with atton who/which first sends/sent thie e above Firm and/or a below attorn Paul E. White, Jr. Glenn J. Perry Kendrew H. Colton G. Paul Edgell Lynn E. Eccleston Timothy J. Klima David A. Jakopin Mark G. Paulson	their firm and to act and rely or s case to them and by whom/w	zier 31361 ch 31044 en 27248 31204 onczyk 36787 gtsson 32456 a 37087 41835	communicate directly with the lat I have consented after full di William P. Atkins Paul L. Sharer Robin L. Teskin	hereby
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